# **Intellectual Property Published by Sandia Scientists**

This section presents intellectual property claims (US patents, US patent applications, and WIPO applications) published by Sandia scientists in the area of solid-state lighting from 1992 through July 2003. The citations are organized by year, beginning with the most recent year. Within years, publications are ordered sequentially by publication number. To skip directly to a particular year, click on the link, below.

#### Select a year:

<u>2003</u>	<u>2002</u>	<u>2001</u>	<u>2000</u>
<u>1999</u>	<u>1998</u>	<u>1997</u>	<u>1996</u>
<u>1995</u>	<u>1994</u>	<u>1993</u>	<u>1992</u>

# 2003

US6504859: Light sources based on semiconductor current filaments

**Assignee:** Sandia Corporation

Inventor(s): F.J. Zutavern, G.M. Loubriel, M.T. Buttram, A. Mar, W.D. Helgeson, M.W. O'Malley,

H.P. Hjalmarson, A.G. Baca, W.W. Chow, and G.A. Vawter

Publication Date: January 7, 2003

US6599362: Cantilever epitaxial process

**Assignee:** Sandia Corporation

Inventor(s): C.I. Ashby, D.M. Follstaedt, C.C. Mitchell, and J. Han

Publication Date: July 29, 2003

US6611085: Photonically engineered incandescent emitter

**Assignee:** Sandia Corporation

Inventor(s): J.M. Gee, S.-Y. Lin, J.G. Fleming, and J.B. Moreno

Publication Date: August 26, 2003

WO3019680A1: Photonically engineered incandescent emitter

**Assignee:** Sandia Corporation

Inventor(s): J.M. Gee, S.-Y. Lin, J.G. Fleming, and J.B. Moreno

Publication Date: March 6, 2003

#### 2002

**US6337384**: Method of making thermally removable epoxies

**Assignee:** Sandia Corporation

Inventor(s): D.A. Loy, D.R. Wheeler, E.M. Russick, J.R. McElhanon, and R.S. Saunders

Publication Date: January 8, 2002

US6358854: Method to fabricate layered material compositions

**Assignee:** Sandia Corporation

**Inventor(s):** J.G. Fleming and S.-Y. Lin **Publication Date:** March 19, 2002

US6383402: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, P.D.P. Ward, and J.O. Stevenson

Publication Date: May 7, 2002

US6384473: Microelectronic device package with an integral window

**Assignee:** Sandia Corporation

Inventor(s): K.A. Peterson and R.D. Watson

Publication Date: May 7, 2002

US6393038: Frequency-doubled vertical-external-cavity surface-emitting laser

**Assignee:** Sandia Corporation

Inventor(s): T.D. Raymond, W.J. Alford, M.H. Crawford, and A.A. Allerman

Publication Date: May 21, 2002

US6406931: Structural tuning of residual conductivity in highly mismatched III-V layers

Assignee: Sandia Corporation Inventor(s): J. Han and J.J. Figiel Publication Date: June 18, 2002

US6419801: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: July 16, 2002

US6458263: Cantilevered multilevel LIGA devices and methods

**Assignee:** Sandia National Laboratories **Inventor(s):** A.M. Morales and L.A. Domeier

Publication Date: October 1, 2002

US6489670: Sealed symmetric multilayered microelectronic device package with integral

windows

**Assignee:** Sandia Corporation

Inventor(s): K.A. Peterson and R.D. Watson

Publication Date: December 3, 2002

US6495895: Bi-level multilayered microelectronic device package with an integral window

**Assignee:** Sandia Corporation

**Inventor(s):** K.A. Peterson and R.D. Watson **Publication Date:** December 17, 2002

US6169933: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: January 2, 2001

US6192826: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: February 27, 2001

US6221679: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: April 24, 2001

US6223755: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: May 1, 2001

US6228672: Stable surface passivation process for compound semiconductors

Assignee: Sandia Corporation Inventor(s): C.I.H. Ashby Publication Date: May 8, 2001

US6229947: Tapered rib fiber coupler for semiconductor optical devices

**Assignee:** Sandia Corporation

Inventor(s): G.A. Vawter and R.E. Smith

Publication Date: May 8, 2001

US6232139: Method of making suspended thin-film semiconductor piezoelectric devices

**Assignee:** Sandia Corporation

Inventor(s): S.A. Casalnuovo and G.C. Frye-Mason

Publication Date: May 15, 2001

**US6235864:** Non-strinking siloxane polymers

**Assignee:** Sandia Corporation

**Inventor(s):** D.A. Loy and K. Rahimian **Publication Date:** May 22, 2001

US6246473: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: June 12, 2001

US6252287: InGaAsN/GaAs heterojunction for multi-junction solar cells

**Assignee:** Sandia Corporation

Inventor(s): S.R. Kurtz, A.A. Allerman, J.F. Klem, and E.D. Jones

Publication Date: June 26, 2001

US6254717: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: July 3, 2001

**US6258615**: Method of varying a characteristic of an optical vertical cavity structure formed

by metalorganic vapor phase epitaxy

**Assignee:** Sandia Corporation

Inventor(s): H.Q. Hou, M.E. Coltrin, and K.D. Choquette

Publication Date: July 10, 2001

US6261470: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: July 17, 2001

US6269278: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: July 31, 2001

**US6271335**: Method of making thermally removable polymeric encapsulants

**Assignee:** Sandia Corporation

Inventor(s): J.H. Small, D.A. Loy, D.R. Wheeler, J.R. McElhanon, and R.S. Saunders

Publication Date: August 7, 2001

US6275740: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: August 14, 2001

US6329296: Metal catalyst technique for texturing silicon solar cells

**Assignee:** Sandia Corporation

**Inventor(s):** D.S. Ruby and S.H. Zaidi **Publication Date:** December 11, 2001

USRE37100: Pulsed ion beam source

**Assignee:** Sandia Corporation **Inventor(s):** J.B. Greenly

Publication Date: March 20, 2001

WO0193387A2: Long wavelength vertical cavity surface emitting laser

**Assignee:** Sandia Corporation

Inventor(s): K.D. Choquette, J.F.C.K.D. Klem, and J.F. KLEM

Publication Date: December 6, 2001

Publication Date: December 6, 2001

US6077386: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: June 20, 2000

US6090302: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: July 18, 2000

US6093246: Photonic crystal devices formed by a charged-particle beam

**Assignee:** Sandia Corporation

Inventor(s): S.-Y. Lin and H.W.P. Koops

Publication Date: July 25, 2000

US6103305: Method of forming a stress relieved amorphous tetrahedrally-coordinated carbon

film

**Assignee:** Sandia Corporation

Inventor(s): T.A. Friedmann and J.P. Sullivan

Publication Date: August 15, 2000

US6123983: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: September 26, 2000

US6132577: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: October 17, 2000

US6134005: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: October 17, 2000

US6157447: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: December 5, 2000

US6165312: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): Jr.M.L. Smith, J.O. Stevenson, and P.P.D. Ward

Publication Date: December 26, 2000

US5858559: Method for altering the luminescence of a semiconductor

**Assignee:** Sandia Corporation

**Inventor(s):** J.C. Barbour and D.B. Dimos **Publication Date:** January 12, 1999

US5912738: Measurement of the curvature of a surface using parallel light beams

**Assignee:** Sandia Corporation

Inventor(s): E.H. Chason, J.A. Floro, C.H. Seager, and M.B. Sinclair

Publication Date: June 15, 1999

US5916634: Chemical vapor deposition of W-Si-N and W-B-N

**Assignee:** Sandia Corporation

Inventor(s): J.G. Fleming, E.L. Roherty-Osmun, P.M. Smith, J.S. Custer, R.V. Jones, M.-A. Nicolet,

R. Madar, and C. Bernard

Publication Date: June 29, 1999

US5995529: Infrared light sources with semimetal electron injection

**Assignee:** Sandia Corporation

Inventor(s): S.R. Kurtz, R.M. Biefeld, and A.A. Allerman

Publication Date: November 30, 1999

US5997949: Synthesis of W-Si-N films by chemical vapor deposition using WF.sub.6,

SiH.sub.4 and NH.sub.3

Assignee: California Institute of Technology and Sandia Corporation

Inventor(s): M.-A. Nicolet, R. Madar, C. Bernard, J.G. Fleming, E.L. Roherty-Osmun, P.M. Smith,

J.S. Custer, and R.V. Jones

Publication Date: December 7, 1999

US5998298: Use of chemical-mechanical polishing for fabricating photonic bandgap

structures

**Assignee:** Sandia Corporation

Inventor(s): J.G. Fleming, S.-Y. Lin, D.L. Hetherington, and B.K. Smith

Publication Date: December 7, 1999

WO9954694A1: Method and apparatus for monitoring plasma processing operations

**Assignee:** Sandia Corporation

Inventor(s): M.L. Smith Jr., J.O. Stevenson, and P.D.P. Ward

Publication Date: October 28, 1999

US5712865: Temperature-insensitive vertical-cavity surface-emitting lasers and method for

fabrication thereof

**Assignee:** Sandia Corporation

**Inventor(s):** W.W. Chow, K.D. Choquette, and P.L. Gourley

Publication Date: January 27, 1998

US5726462: Semiconductor structures having electrically insulating and conducting portions

formed from an AlSb-alloy layer Assignee: Sandia Corporation

Inventor(s): O.B. Spahn and K.L. Lear Publication Date: March 10, 1998

US5780867: Broadband light-emitting diode

**Assignee:** Sandia Corporation

Inventor(s): I.J. Fritz, J.F. Klem, and M.J. Hafich

Publication Date: July 14, 1998

US5783340: Method for photolithographic definition of recessed features on a semiconductor

wafer utilizing auto-focusing alignment

**Assignee:** Sandia Corporation

Inventor(s): A.J. Farino, S. Montague, J.J. Sniegowski, J.H. Smith, and P.J. McWhorter

Publication Date: July 21, 1998

**US5814238: Method for dry etching of transition metals** 

**Assignee:** Sandia Corporation

Inventor(s): C.I.H. Ashby, A.G. Baca, P. Esherick, J.E. Parmeter, D.J. Rieger, and R.J. Shul

Publication Date: September 29, 1998

US5814245: Fluorescent and cathodoluminescent phophors structurally related to sodalite

Assignee: Sandia Corporation

**Inventor(s):** M.L.F. Phillips and L.E. Shea **Publication Date:** September 29, 1998

US5825049: Resonant tunneling device with two-dimensional quantum well emitter and base

layers

**Assignee:** Sandia Corporation

Inventor(s): J.A. Simmons, M.E. Sherwin, T.J. Drummond, and M.V. Weckwerth

Publication Date: October 20, 1998

US5840373: Method of growing films by flame synthesis using a stagnation-flow reactor

**Assignee:** Sandia Corporation

**Inventor(s):** D.W. Hahn and C.F. Edwards **Publication Date:** November 24, 1998

US5624529: Dry etching method for compound semiconductors

**Assignee:** Sandia Corporation

Inventor(s): R.J. Shul and C. Constantine

Publication Date: April 29, 1997

US5633527: Unitary lens semiconductor device

Assignee: Sandia Corporation

Inventor(s): K.L. Lear

Publication Date: May 27, 1997

US5687264: Apparatus for injecting high power laser light into a fiber optic cable

**Assignee:** Sandia Corporation **Inventor(s):** W.C. Sweatt

Publication Date: November 11, 1997

# 1996

US5493577: Efficient semiconductor light-emitting device and method

Assignee: Sandia Corporation

Inventor(s): K.D. Choquette, K.L. Lear, and Jr.R.P. Schneider

Publication Date: February 20, 1996

US5527628: Pb-free Sn-Ag-Cu ternary eutectic solder

**Assignee:** Iowa State University Research Foudation Inc. and Sandia Corporation Inventor(s): I.E. Anderson, F.G. Yost, J.F. Smith, C.M. Miller, and R.L. Terpstra

Publication Date: June 18, 1996

US5557627: Visible-wavelength semiconductor lasers and arrays

**Assignee:** Sandia Corporation

Inventor(s): Jr.R.P. Schneider and M.H. Crawford

Publication Date: September 17, 1996

US5557627: Visible-wavelength semiconductor lasers and arrays

**Assignee:** Sandia Corporation

Inventor(s): R.P. Schneider Jr. and M.H. Crawford

Publication Date: September 17, 1996

US5428634: Visible light emitting vertical cavity surface emitting lasers

Assignee: The United States of America as represented by the United States Department of Energy

Inventor(s): R.P. Bryan, G.R. Olbright, J.A. Lott, and R.P. Schneider Jr.

Publication Date: June 27, 1995

US5463649: Monolithically integrated solid state laser and waveguide using spin-on glass

**Assignee:** Sandia Corporation

Inventor(s): C.I.H. Ashby, J.P. Hohimer, D.R. Neal, and G.A. Vawter

Publication Date: October 31, 1995

US5473475: Method for changing the cross section of a laser beam

Assignee: The United States of America as represented by the United States Department of Energy

**Inventor(s):** W.C. Sweatt and L. Seppala **Publication Date:** December 5, 1995

# 1994

US5313485: Luminescent light source for laser pumping and laser system containing same

**Assignee:** Sandia Corporation

Inventor(s): R.A. Hamil, C.S. Ashley, C.J. Brinker, S. Reed, and R.J. Walko

Publication Date: May 17, 1994

US5351256: Electrically injected visible vertical cavity surface emitting laser diodes

**Assignee:** The United States of America as represented by the United States Department of Energy

**Inventor(s):** R.P. Schneider and J.A. Lott **Publication Date:** September 27, 1994

US5356516: Process for etching mixed metal oxides

**Assignee:** Sandia Corporation

Inventor(s): C.I.H. Ashby and D.S. Ginley Publication Date: October 18, 1994

#### 1993

US5258990: Visible light surface emitting semiconductor laser

Assignee: The United States of America as represented by the Secretary of the United States

Department of Energy

**Inventor(s):** G.R. Olbright and J.L. Jewell **Publication Date:** November 2, 1993

## 1992

US5122305: Solid-state radiation-emitting compositions and devices

Assignee: [Not Assigned]

Inventor(s): C.S. Ashley, C.J. Brinker, S. Reed, T.J. Shepodd, L.E. Leonard, R.E. Ellefson, J.T. Gill,

R.J. Walko, and C.L. Renschler **Publication Date:** June 16, 1992